

# CORE PORTFOLIO SERIES — FIRST TRUST®

## ACTIVE MEETS PASSIVE

As of December 31, 2023 | Updated Annually

Within the asset allocation framework of Kingsview Investment Management's CORE Portfolio series, the Kingsview Investment Committee has created a variation utilizing best of breed active/enhanced ETFs from First Trust®. This fund family specific allocation allows for the implementation of actively managed ETF solutions in a high conviction asset allocation managed by the Kingsview Investment Committee.

## COMBINING TWO MANAGEMENT STYLES

Kingsview Investment Management believes a strategic perspective paired with periodic rebalancing will result in long-term performance matching or perhaps exceeding its benchmark.

A combination of active and passive investments brings the best characteristics of both strategies in one portfolio. These portfolios may be appropriate for a variety of investor objectives and risk profiles.

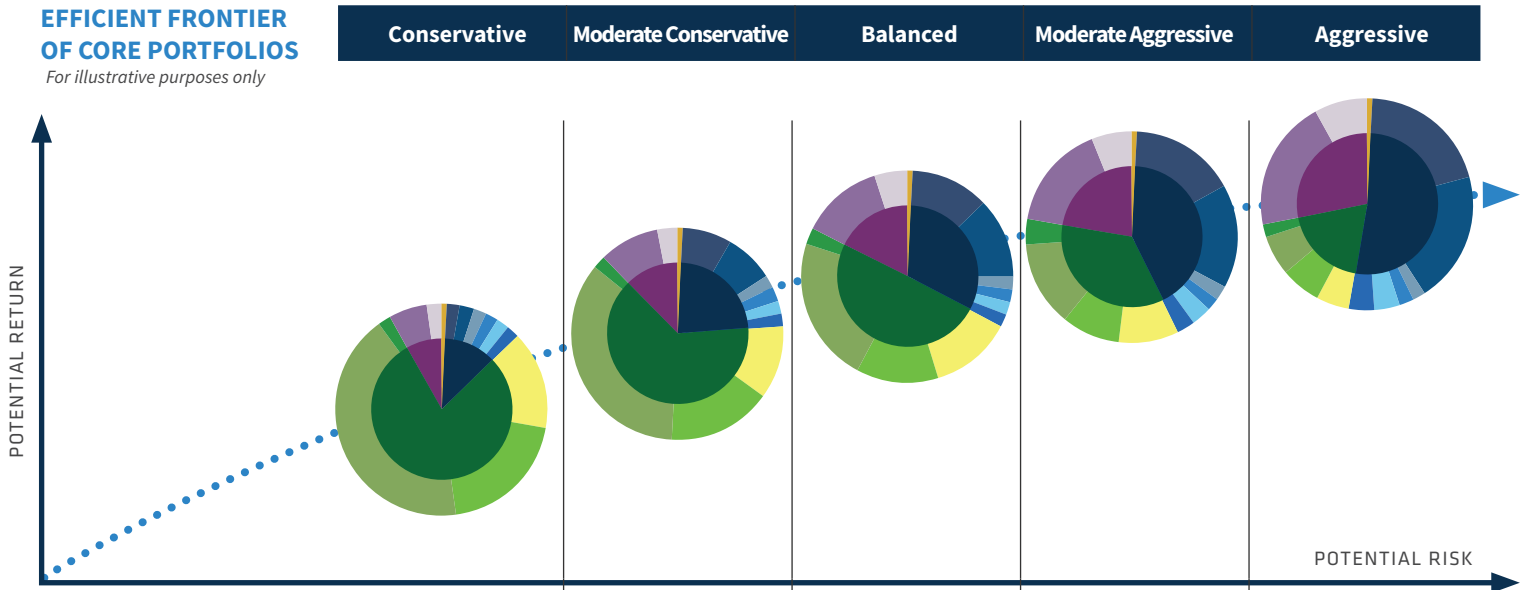
	Active	Passive	CORE First Trust
<b>Potential benefits of active and passive</b>			
LOW COST		👑	👑
LOW DOWNSIDE CAPTURE	👑		👑
INDEX-LIKE RETURN		👑	👑
ALPHA POTENTIAL	👑		👑
LOW TRACKING ERROR		👑	👑
FLEXIBILITY	👑		👑

## RISK MANAGEMENT

By maintaining a portfolio consistent with the desired risk category and rebalancing between the various asset classes and styles; overall reward to risk can be optimized. Portfolios are constructed on the efficient frontier and rebalanced as necessary to maintain an ever-changing optimal mix of the active portion to the desired total portfolio risk.

## EFFICIENT FRONTIER OF CORE PORTFOLIOS

For illustrative purposes only



## GUIDELINES

As of: 12/31/2023

	CONSERVATIVE	MODERATE CONSERVATIVE	BALANCED	MODERATE AGGRESSIVE	AGGRESSIVE
CASH	1.00%	1.00%	1.00%	1.00%	1.00%
LARGE CAP GROWTH	2.00%	7.50%	12.00%	16.00%	20.00%
LARGE CAP VALUE	2.00%	7.50%	12.00%	16.00%	20.00%
MID CAP GROWTH	2.00%	2.00%	2.00%	2.00%	2.00%
MID CAP VALUE	2.00%	2.00%	2.00%	2.00%	2.00%
SMALL CAP GROWTH	2.00%	2.00%	2.00%	3.00%	4.00%
SMALL CAP VALUE	2.00%	2.00%	2.00%	3.00%	4.00%
HIGH YIELD BOND	15.00%	11.00%	12.50%	9.00%	5.00%
SHORT TERM BOND	20.00%	16.00%	12.50%	9.00%	6.00%
INTERMEDIATE BOND	42.00%	35.00%	22.00%	13.00%	6.00%
LONG TERM BOND	2.00%	2.00%	2.50%	4.00%	2.00%
INTERNATIONAL DEVELOPED	6.00%	9.00%	12.50%	16.00%	20.00%
EMERGING MARKET	2.00%	3.00%	5.00%	6.00%	8.00%

Allocations are subject to change without notice. Allocations may not total 100% due to rounding.

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## ACTIVE MEETS PASSIVE

### PORTFOLIO CONSTRUCTION

We review the fund family investment choices, from expected returns and correlations to the asset classes the fund family invests in. We understand that any fund family may not provide exposure to all asset classes our capital market assumptions call for. We rely on our fund selection methodology to branch out from the core fund family to provide exposure to best of breed ETFs.

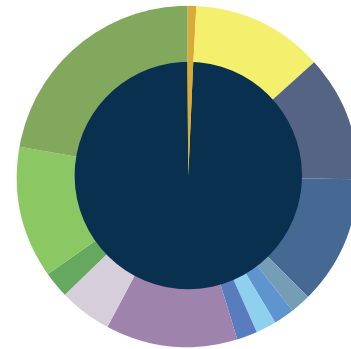
The active portion of the portfolio, using a combination of Actively Managed and AlphaDEX® ETFs, focuses on funds with higher manager ownership, lower expense ratios, and enhanced performance vs. peers. Finally, we screen out for redundancies and overlap in holdings. The passive ETFs used are screened for low expenses and how well they track their designated benchmark.

**By marrying the best characteristics of both an active and passive solution, these portfolios attempt to provide the best of both management philosophies.**

### PORTFOLIO HIGHLIGHTS

#### CORE Portfolio Series Featuring First Trust®

- First Trust is the leading provider of actively managed ETFs which combine the transparency, tradability and efficiency of ETFs with the analysis and security selection of an experienced portfolio manager.
- The First Trust AlphaDEX® ETFs seek to track the performance of a group of indexes which employ the proprietary, rules based AlphaDEX fundamental stock selection methodology, while seeking optimization away from the index where appropriate for risk and return, also known as Enhanced Indexing.
- 5 portfolios across the efficient frontier ranging from Conservative to Aggressive.
- The same pricing structure and entry point as CORE (25K minimum and starting at 25bps (up to 250k)).



#### ALLOCATIONS

As of 12/31/23  
Subject to change without notice.

Balanced

FIRST TRUST®		CASH	1.00%
		HIGH YIELD BOND	12.50%
		LARGE CAP GROWTH	12.00%
		LARGE CAP VALUE	12.00%
		MID CAP GROWTH	2.00%
		MID CAP VALUE	2.00%
		SMALL CAP GROWTH	2.00%
		SMALL CAP VALUE	2.00%
		INTERNATIONAL DEVELOPED	12.50%
		EMERGING MARKET	5.00%
		LONG TERM BOND	2.50%
		SHORT TERM BOND	12.50%
		INTERMEDIATE BOND	22.00%

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